

FIRST TRUST GLOBAL FUNDS PLC

(the “Company”)

SUPPLEMENT

for

FIRST TRUST EUROPE RISING DIVIDEND ACHIEVERS UCITS ETF

(the “Fund”)

DATED 20 January 2026

This Supplement forms part of, and should be read in conjunction with, the Prospectus dated 24 February 2025. Unless otherwise defined herein, capitalised terms used in this Supplement shall have the meaning given to them in the Prospectus. To the extent that there is any inconsistency between the Supplement and the Prospectus, the Supplement shall prevail. The Company is a UCITS umbrella fund with segregated liability between its sub-funds. Details of the other Funds offered by the Company are specified in the Prospectus and will be available on request.

The Company has obtained the approval of the Central Bank for the establishment of the Fund as a UCITS pursuant to the UCITS Regulations.

| Investment Objective | |
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| Investment Objective | <p>The Fund seeks investment results that correspond generally to the price and yield, before the Fund’s fees and expenses, of the Nasdaq Europe Rising Dividend Achievers Index (the “Index”).</p> <p>There is no guarantee that the Fund will achieve its investment objective.</p> |
| Tracking Error | <p>The Investment Manager will regularly monitor the Fund’s tracking accuracy and will seek to maintain at least a 95% correlation between the return of the Index and the return of the Fund. It is expected that the level of tracking error will be 0-2% in normal market conditions.</p> |

| Investment Policy | |
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| Investment Policy | <p>The Fund will invest at least 90% of its net assets in a portfolio of equity securities that consists of the equity securities of the Index or in depositary receipts that may include American Depositary Receipts (“ADRs”), Global Depositary Receipts (“GDRs”) or European Depositary Receipts (“EDRs”) representing securities in the Index where direct investment in a constituent security of the Index is not possible.</p> <p>The Fund seeks to replicate, before fees and expenses, the holdings and weightings so as to generate performance results 95% correlated to that of the Index. The Investment Manager will seek to do this by replicating so far as possible the investments in the Index.</p> |

Investment Policy

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| | <p>Subject to Schedule III of the Prospectus entitled “Investment Restrictions”, the Investment Manager may also invest in a portfolio of assets which may comprise money market or short-term instruments such as Investment Grade fixed and floating government securities, bankers’ acceptances, certificates of deposit, and Eligible Collective Investment Schemes which have a similar investment objective and policies as that of the Fund or are money market funds. The amount which may be invested in such money market instruments, short-term instruments and Eligible Collective Investment Schemes shall not exceed 10% of the Net Asset Value of the Fund.</p> <p>The Fund’s Investments, other than its Investments in Eligible Collective Investment Schemes, will be listed or traded on Regulated Markets worldwide and which are set out in Schedule II of the Prospectus entitled “The Regulated Markets”.</p> <p>Subject to the investment limits set out in the preceding paragraphs, the Fund assets are permanently physically invested with a minimum of at least 51% of their value in securities defined as equity in accordance to sec. 2 para. 8 of the German Investment Tax Act.</p> |
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Fund Characteristics

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| Actively or Passively Managed | Passively managed |
| Classes of Shares | <p>Class A Class B</p> <p>The Fund will initially comprise of two share Classes, details of which are set out in the section below entitled “Share Class Features”. The Fund may add additional share Classes from time to time at the discretion of the Directors in accordance with the requirements of the Central Bank. A separate pool of assets will not be maintained for each Class within the Fund.</p> |
| Base Currency | EUR |
| Business Day | A day on which either the London Stock Exchange is open for business or such other days as the Directors may from time to time determine and notify to Shareholders in advance. |
| Dealing Day | Such Business Day or Business Days as the Directors, in conjunction with the Administrator, from time to time may determine and notify in advance to Shareholders, provided that, unless otherwise determined in respect of the Fund, each Business Day shall be a Dealing Day and provided further that in any event there shall be at least two Dealing Days each month at approximately equal intervals. |
| Dealings | Shares may be subscribed for on each Dealing Day at the Net Asset Value per share plus Duties and Charges. Cash subscriptions shall be made in the relevant Class Currency. |

Fund Characteristics

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| | <p>Shares may be redeemed on each Dealing Day at the Net Asset Value per share less Duties and Charges.</p> <p>Duties and Charges may include trading and transaction costs, and variance in Net Asset Value related to the completion or the sale of a portfolio of the Investments needed to create or redeem a Creation Unit.</p> <p>Duties and Charges, as more particularly described under “Duties and Charges” below, applicable to cash and partial-cash transactions may, following completion of the transaction, result in a negative balance to be charged to, and required to be paid by, the relevant Authorised Participant. Conversely, any positive balance resulting from the aggregate Duties and Charges arising in connection with a completed cash or partial-cash transaction shall be refunded to the Authorised Participant by the relevant Fund.</p> |
| Valuation Point | 4:30 pm (Irish time) on each Dealing Day |
| Dealing Frequency | Daily (on each Dealing Day) |
| Trade Cut Off Time | <p>03:30 pm (Irish time) on each Dealing Day</p> <p>This applies to both in cash or in kind subscriptions or redemptions.</p> <p>Dealing instructions received after the Trade Cut-Off Time may be accepted for that Dealing Day, at the discretion of the Directors or their delegate, in exceptional circumstances, provided they are received prior to the Valuation Point.</p> |
| Subscription Settlement Time | <p>Up to the relevant Dealing Day + 2.</p> <p>This applies to both in cash or in kind subscriptions.</p> <p>Earlier or later settlement times may be determined by the Directors or their delegate at their discretion with prior Shareholder notice, where applicable, and will be done strictly on a best efforts basis.</p> |
| Redemption Settlement Time | <p>Up to the relevant Dealing Day + 2.</p> <p>This applies to both in cash or in kind redemptions.</p> <p>Applicable both to the time redemption proceeds are remitted by a Fund and the time by which shares of a Fund are to be delivered by the redeeming Shareholder. Earlier or later settlement times may be determined by the Company at its discretion with prior Shareholder notice, where applicable, and will be done strictly on a best-efforts basis.</p> |
| In Kind Subscriptions/In Kind Redemptions | <p>The Company has the right to determine whether it will accept a subscription and / or redemption request from a Shareholder in kind or in cash.</p> <p>Subscription (in kind or in cash) and redemption (in kind or in cash) orders will normally be accepted in multiples of the minimum Creation Units listed for the</p> |

Fund Characteristics

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| | <p>Fund. Such minimums may be reduced in any case at the discretion of the Directors or their delegate.</p> <p>At the discretion of the Company and with the consent of the Shareholder making such redemption request, assets may be transferred to the Shareholder in satisfaction of the redemption monies payable on the redemption of shares in the Fund. The allocation of such assets shall be subject to the approval of the Depositary.</p> <p>Where a redemption request represents 5% or more of the Net Asset Value of the Fund, assets may be transferred to a shareholder in satisfaction of the redemption monies payable without the consent of the Shareholder. At the request of the Shareholder making such redemption request, the assets may be sold by the Company and the proceeds of sale shall be transmitted to the Shareholder. The transaction costs incurred in the sale of the assets will be payable by the Shareholder.</p> |
| Settlement of Shares in ICSD | <p>The settlement of trading in shares of the Fund is centralised in an ICSD structure.</p> <p>The ICSD is the Recognised Clearing Systems through which the Funds' shares will be settled. As the shares in the Fund settle through ICSD they will not generally be issued in Dematerialised Form and no temporary documents of title or share certificates will be issued, other than the Global Share Certificate issued to the Common Depositary's Nominee which is required for the ICSD settlement model. The Fund will apply for admission for clearing and settlement through the applicable ICSD. The ICSD for the Fund will be Euroclear and Clearstream.</p> <p>For further information, please refer to the section of the Prospectus entitled "Clearing and Settlement using the ICSD settlement structure" and "International Central Securities Depositary".</p> |
| Distribution Policy | <p><i>Distributing Shares:</i> Dividends are declared quarterly on any Business Day during March, June, September and December of each year.</p> <p><i>Accumulating Shares:</i> It is not intended to pay dividends in respect of Accumulating Shares. Income and other profits will be accumulated and reinvested in respect of these Shares.</p> <p>For further information please refer to the section of the Prospectus entitled "Distribution Policy".</p> |
| Profile of a Typical Investor | <p>Investment in the Fund may be appropriate for investors who have a medium-term investment horizon. The Fund is designed for investors who are willing to accept moderate volatility, including the possibility of a decline in the value of their investment and/or who are not seeking to invest to meet short-term goals.</p> |
| Risk Factors | <p>Investment in the Fund carries with it a degree of risk including the risks described in the section entitled "Risk Factors" in the Prospectus. These investment risks are not purported to be exhaustive and potential investors should review the Prospectus and this Supplement carefully and consult with their professional advisors before making an application for Shares. Investment in the</p> |

Fund Characteristics

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| | Fund is not for investors who cannot afford to lose all or a significant part of their investment. |
| Initial Offer Period/Price | <p>Beginning at 9.00 am (Irish time) on 21 January 2026 and terminating at 5.00 pm (Irish time) on 20 July 2026, or such other dates as the Directors may determine.</p> <p>The Initial Offer Price for each class of Shares is set out in the section below entitled “Share Class Features”.</p> |
| Fees and Expenses | The Company shall pay to the Manager out of the Fund’s assets an annual management fee of a percentage of the Fund’s average daily net assets. Details of the annual management fee that the Manager shall receive from the Fund are set out in the section below entitled “Share Class Features”. |
| Duties and Charges | In relation to subscriptions and/or redemptions of shares of the Fund on the Primary Market, costs may be charged to Authorised Participants, such as part or all of any of the Transaction Costs; stamp and other duties; taxes; governmental charges; valuation fees; property management fees; agents fees; brokerage fees; bank charges; foreign exchange spreads; interest; depositary charges (relating to subscriptions and redemptions); transfer fees; registration fees; and all other duties and charges which, for the avoidance of doubt, includes, when calculating the Portfolio Composition File, any provision for spreads (to take into account the difference between the price at which Investments were valued for the purpose of calculating the Net Asset Value and the estimated price at which such Investments shall be bought as a result of a subscription or sold as a result of a redemption), whether in connection with the original acquisition or increase of the Investments of the Fund or the creation, issue, sale, purchase, transfer, conversion or redemption of shares, or the purchase or proposed purchase of Investments or otherwise which may have become or will be payable in respect of or prior to or in connection with or arising out of or upon the occasion of any transaction or dealing in respect of which such duties and charges are payable on the issue and/or redemption of shares, any charges associated with payments of cash in lieu of securities delivery as part of the Cash Component of a Portfolio Composition File, and any costs associated with the acquisition or disposition of Investments while the relevant Regulated Market for the securities is closed, and costs associated with short settlement, long settlement, or any other non-standard settlement of subscriptions, redemptions, conversions or transfers of shares. |
| Conversion Transaction Fee | <p>The switching charge for the conversion of shares in a Fund into shares of another Fund shall be 3% of the Net Asset Value per share.</p> <p>For further information please refer to the section of the Prospectus entitled “Conversion of shares”.</p> |
| Borrowing | The Fund may not borrow money except in accordance with the provisions set out in the section of the Prospectus entitled “Borrowings”. |

Fund Characteristics

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| <p>Determination of Net Asset Value</p> | <p>Following the Initial Offer Period the Net Asset Value per share of the Fund shall be calculated on each Dealing Day and will be rounded upwards or downwards, as appropriate, to the nearest 3 decimal places.</p> <p>Please refer to the section of the Prospectus entitled “Determination of Net Asset Value” for information on the valuation of the Fund.</p> |
| <p>Index</p> | <p>The Index is designed to measure the performance of a selection of securities that have increased their dividend value over the previous three-year and five-year annual periods.</p> <p>The description below is a summary of information provided by the Index Provider on the methodology for the construction of the Index. For details of the exact composition of the Index, please refer to the following website: NQDVRISDMEU</p> <p>To be eligible for inclusion in the Index, a security must be in the largest 1,000 components of the Nasdaq DM Europe™ Index (NQDMEU). A security must not be classified as a Mortgage Real Estate Investment Trust or Real Estate Investment Trust according to the ICB Sector classification. For further information on ICB Sector classification, please see Industry Classification Benchmark (ICB) LSEG.</p> <p>Furthermore, for inclusion in the Index, securities must have:</p> <ul style="list-style-type: none"> - a three-month average daily traded volume (ADTV) of at least USD 5 million; - paid a dividend in the trailing 12-month period greater than the dividend paid in the trailing 12-month period three and five years ago; - positive earnings per share in the most recent fiscal year greater than the earnings per share three fiscal years prior; - a cash-to-debt ratio greater than 50%; and - a payout ratio less than 65%. <p><u><i>Application of Exclusionary Screens and ESG Scoring</i></u></p> <p>The composition of the Index is determined by the application of ESG scoring and exclusionary screens to determine eligibility for inclusion in the Index.</p> <p>The screening is undertaken by Nasdaq based on data it sources from Sustainalytics.</p> <p>At each reconstitution, companies with an event rating of higher than three (3) for the following “Events”, as determined by Sustainalytics is excluded: (i) land use and biodiversity; (ii) human rights; (iii) access to basic services; (iv) occupational health & safety; (v) bribery and corruption; and (vi) accounting and taxation.</p> <p>Furthermore, companies with a “Risk Rating”, as determined by Sustainalytics, of higher than Medium for Resource Use are also excluded from the Index. “Risk Ratings” are intended to measure a company’s exposure to industry-specific material ESG risks and how well a company is managing those risks. The level</p> |

Fund Characteristics

of risk, from lowest to highest, is indicated by the following ratings: Negligible, Low, Medium, High, and Severe, which describe the risk ESG factors pose to a company's enterprise value (e.g. for a company rated "Negligible", ESG factors are considered by Sustainalytics to drive negligible risk to enterprise value).

In addition, the Index excludes companies deriving revenue (as determined by the Index Provider from time to time) from:

- (i) the distribution and production of adult entertainment;
- (ii) controversial weapons; and
- (iii) the extraction of oil sands.

In addition to the exclusionary screens, companies will be assessed and assigned a "Controversy Rating". If a negative ESG event or incident (an "ESG Incident") connected with a company is identified, an assessment of the ESG Incident is made from a holistic perspective based on the following factors:

1. Impact: negative impact that the incidents have caused to the environment and society;
2. Risk: business risk to the company as a result of the incidents; and
3. Management: a company's management systems and response to incidents.

Following this assessment an ESG score or Controversy Rating is assigned to the ESG Incident. An ESG Incident is assessed on a scale of 5 categories ranging from Category 1 (Low) to Category 5 (Severe).

Companies are then assigned a Controversy Rating which reflects the company's exposure to such negative ESG Incidents (if any). Companies which have a Controversy Rating of higher than Category 4 (High) will be excluded from the Index.

Constituent Selection

The Index comprises four equally weighted sub-portfolios. The above eligibility criteria are applied to each sub-portfolio to determine which securities are eligible for inclusion in the Index. Only one security per company can be included in any one sub-portfolio. If a company has multiple securities, the security with the highest three-month ADTV is eligible for inclusion in a sub-portfolio. If a company has a combined weight of 2% or more from the sub-portfolios not undergoing a reconstitution, the company will be ineligible for inclusion in the reconstituting sub-portfolio.

The securities in each sub-portfolio are then ranked by a combined factor of monetary dividend increase over the previous five-year period, current dividend yield, and payout ratio. These ranks are then aggregated across the sub-portfolio and up to the top 75 securities with the lowest (most favourable) aggregated rank are selected for inclusion in the sub-portfolio. In the event of one or more securities having the same rank, the security with the higher dividend yield is selected. If an ICB Industry is represented by more than 30% of the selected securities, the industry's highest-ranked component is removed from the selection of up to 75 securities and is replaced with the next-ranked eligible security not from that industry. This is because the securities with the lowest

Fund Characteristics

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| | <p>aggregated rank are the strongest performing companies. The lowest ranked 75 are selected. The highest ranked are the worse performing companies and these are excluded and replaced if applicable. If a country of domicile is represented by more than 33% of the selected securities, the country's highest-ranked component is removed from the selection of up to 75 securities and is replaced with the next-ranked eligible security not from that country.</p> <p>Sub-portfolios are combined into one aggregate portfolio to form the Index.</p> <p><i>Contingent selection process</i></p> <p>If the final universe contains fewer than 50 securities, the 65% payout ratio criterion is removed, and constituent selection process is restarted. An iterative process is applied to the eligible universe based on the following steps:</p> <ul style="list-style-type: none"> • Securities passing on all other original Security Eligibility Criteria are sorted based on their payout ratio in ascending order. • All securities with payout ratio less than 65% are considered for inclusion. • The security with the next lowest payout ratio equal to or greater than 65% is also included. • All securities in the selection universe are re-ranked based on the selection process detailed in the original Constituent Selection Process. The steps above are repeated until 50 securities are selected for inclusion in the final index <p>If the final universe has fewer than 75 securities and some are excluded due to the 30 % ICB Industry or 33% country exposure constraints, certain industries or countries may have allocations above these thresholds.</p> <p>The Fund is subject to tracking error risk, which is the risk that its returns may not track exactly those of the Index.</p> <p>Each sub-portfolio is reconstituted and rebalanced annually, resulting in at least a part of the Index being reconstituted and rebalanced each quarter in March, June, September and December. Sub-portfolios are used by the Index Provider in order to reduce the impact on the Fund of changes to the constituents in the Index as the sub-portfolios are rebalanced on different dates rather than the Index being rebalanced in full.</p> |
| Index Provider | Nasdaq |
| Listing Stock Exchange(s) | The shares of the Fund will be primarily listed and admitted for trading on Euronext Dublin and the shares of the Fund may be listed and admitted for trading on a number of other stock exchanges as determined by the Company from time to time. |
| Sustainable Finance | <p>SFDR Designation: Article 8 Fund.</p> <p>ESG Integration: There is no integration of Sustainability Risks into the Investment Manager's investment process for this Fund. This is because the Fund is passively managed with the intention of replicating the performance of</p> |

Fund Characteristics

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| | <p>the Index. The Index Provider’s methodology for determining the constituents of the Index does not consider Sustainability Risks. As Sustainability Risks are not integrated into the investment process for this Fund, the impact of such risks on the returns of the Fund are not assessed.</p> <p>Taxonomy Regulation: The underlying investments of the Fund do not take into account the EU criteria for environmentally sustainable economic activities.</p> <p>For further information, please refer to the section of the Prospectus entitled “Sustainable Finance”.</p> |
| Website | www.ftglobalportfolios.com |

Share Class Features

| Fund Base Currency | Share Class | Class Currency | Initial Offer Price | Initial Offer Period Status | Minimum Initial Subscription | Minimum Redemption Requirement | Minimum Holding | Fractional Shares | Dividend Policy | Annual Management Fee (% of average daily Net Asset Value) |
|---------------------------|--------------------|-----------------------|----------------------------|------------------------------------|-------------------------------------|---------------------------------------|------------------------|--------------------------|------------------------|---|
| EUR | Class A | EUR | \$20 | Open | 50,000 Shares | 50,000 Shares | N/A | No | Accumulating | 0.50% |
| EUR | Class B | EUR | \$20 | Open | 50,000 Shares | 50,000 Shares | N/A | No | Distributing | 0.50% |

The Index which the Fund seeks to track is compiled by Nasdaq. Nasdaq is not affiliated with the Company or the Investment Manager. The Fund is entitled to use certain equity indices pursuant to sublicensing arrangements by and among the Company and the Investment Manager, which has a licensing agreement with Nasdaq which also serves as the index calculation agent for the Fund. The index calculation agent will calculate and disseminate the values of the Index at least once every 15 seconds.

The Fund is not sponsored, endorsed, sold or promoted by Nasdaq. Nasdaq makes no representation or warranty, express or implied, to the owners of the Fund or any member of the public regarding the advisability of investing in securities generally or in the Fund particularly or the ability of the Index to track general stock market performance or a segment of the same. Nasdaq's publication of the Index in no way suggests or implies an opinion by Nasdaq as to the advisability of investment in any or all of the securities upon which the Index is based. Nasdaq's only relationship to the Investment Manager is the licensing of certain trademarks and trade names of Nasdaq and of the Index which is determined, composed and calculated by Nasdaq without regard to the Investment Manager, the Company or the Fund. Nasdaq is not responsible for and has not reviewed the Fund nor any associated literature or publications and makes no representation or warranty express or implied as to their accuracy or completeness, or otherwise. Nasdaq reserves the right, at any time and without notice, to alter, amend, terminate or in any way change the Index. Nasdaq has no obligation or liability in connection with the administration, marketing or trading of the Fund.

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Template pre-contractual disclosure for the financial products referred to in Article 8, paragraphs 1, 2 and 2a, of Regulation (EU) 2019/2088 and Article 6, first paragraph, of Regulation (EU) 2020/852

Sustainable investment means an investment in an economic activity that contributes to an environmental or social objective, provided that the investment does not significantly harm any environmental or social objective and that the investee companies follow good governance practices.

The **EU Taxonomy** is a classification system laid down in Regulation (EU) 2020/852, establishing a list of **environmentally sustainable economic activities**. That Regulation does not include a list of socially sustainable economic activities. Sustainable investments with an environmental objective might be aligned with the Taxonomy or not.

Product name: First Trust Europe Rising Dividend Achievers UCITS ETF

Legal entity identifier: 254900RSAAXB6HVV3U54

Environmental and/or social characteristics

Does this financial product have a sustainable investment objective?

Yes

No

It will make a minimum of **sustainable investments with an environmental objective:** ___%

in economic activities that qualify as environmentally sustainable under the EU Taxonomy

in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy

It will make a minimum of **sustainable investments with a social objective:** ___%

It **promotes Environmental/Social (E/S) characteristics** and while it does not have as its objective a sustainable investment, it will have a minimum proportion of ___% of sustainable investments

with an environmental objective in economic activities that qualify as environmentally sustainable under the EU Taxonomy

with an environmental objective in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy

with a social objective

It promotes E/S characteristics, **but will not make any sustainable investments**



What environmental and/or social characteristics are promoted by this financial product?

The environmental and/or social characteristics promoted by the Fund are the promotion of good ESG practices amongst investee companies as determined by their inclusion in the Index, which is designed to exclude companies that engage in business activities found to be controversial or to have negative environmental impacts. The good ESG practices promoted are (i) positive impact on climate change, and the natural environment, which is achieved through not investing in companies that derive 5% or more of their revenue from extracting oil sands or that are engaged in the irresponsible use of raw materials; (ii) peace and stability, which is achieved through not investing in companies involved with banned weapons and controversial weapons; and (iii) human rights and anti-corruption achieved as a result of not

investing in companies which are involved in certain controversial events or which derive 5% or more of their revenues from adult entertainment (collectively, the “ESG Selection Criteria”).

The Nasdaq Europe Rising Dividend Achievers Index™ (the “Index”) has been designated as a reference benchmark for the purposes of attaining the environmental and/or social characteristics promoted by the Fund.

Sustainability indicators

measure how the environmental or social characteristics promoted by the financial product are attained.

● ***What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?***

The Fund uses the following indicators to measure the attainment of the environmental and/or social characteristics promoted by the Fund:

Environmental Characteristic

The percentage of the Fund’s portfolio invested in companies deriving 5% or more of their revenue from extracting oil sands or that are engaged in the irresponsible use of raw materials.

Social Characteristic

The percentage of the Fund’s portfolio invested in companies

- (i) involved with banned or controversial weapons; or
- (ii) deriving 5% or more of their revenue from adult entertainment.

In each case, the percentage of the Fund’s portfolio invested in such companies is expected to be 0%.

What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives?

Not applicable.

Principal adverse impacts

are the most significant negative impacts of investment decisions on sustainability factors relating to environmental, social and employee matters, respect for human rights, anticorruption

● ***How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective?***

Not applicable.

How have the indicators for adverse impacts on sustainability factors been taken into account?

Not applicable.

and anti-bribery matters.

--- How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights?

Not applicable.

The EU Taxonomy sets out a "do not significant harm" principle by which Taxonomy-aligned investments should not significantly harm EU Taxonomy objectives and is accompanied by specific EU criteria.

The "do no significant harm" principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

Any other sustainable investments must also not significantly harm any environmental or social objectives.



Does this financial product consider principal adverse impacts on sustainability factors?

Yes. The Fund considers PAI indicator 14 (Exposure to controversial weapons (anti-personnel mines, cluster munitions, chemical weapons and biological weapons) by tracking the Index which is constructed to exclude companies deriving revenue from controversial weapons. Information on principal adverse impacts on sustainability factors can be found in the Fund's annual report which will be available [here](#).

No



What investment strategy does this financial product follow?

The investment objective of the Fund is to seek investment results that correspond generally, before fees and expenses, to those of the Index. In order to achieve its objective, the Fund will apply a strategy that seeks to replicate so far as possible the component securities of the Index and thereby comply with the environmental characteristics of the Index. By tracking the Index using a "passive management" investment strategy to replicate, as far as possible, the investments of the Index, the Fund provides investors with a multi-factor approach that focuses on dividend growth, dividend yield, earnings per share growth, payout ratio and cash to long-term debt. This approach is focused on screening for high quality dividend growers, while promoting better ESG practices among investee companies by:

- directing investment to companies that have not been associated with severe ESG controversies (as evidenced by their compliance with the Index requirements); and
- declining to invest in certain companies which have produced negative environmental and/or social outcomes (as evidenced by their failure to comply with the Index requirements).

The investment strategy guides investment decisions based on factors such as investment objectives and risk tolerance.

The binding elements of the investment strategy used to select the investments to attain the environmental and social characteristics promoted by the Fund are set out in the section below. It is possible that companies which meet the ESG Selection Criteria (described below) for inclusion at a reconstitution date become ineligible during the period prior to the next reconstitution of the Index. Having regard to the overall investment strategy of the Fund, the Investment Manager will generally remain invested in the securities of such companies until the next reconstitution of the Index.

Please note that the Annex forms part of and should be read in conjunction with the Supplement. Please refer to the Supplement for further information.

● **What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product?**

The Fund applies a “passive management” investment strategy to replicate, as far as possible, the investments of the Index as outlined in the Supplement.

Companies eligible for inclusion in the Index are reviewed at each reconstitution of the index and will be excluded if they do not meet the ESG Selection Criteria. The Index is reconstituted quarterly in line with the ESG Selection Criteria. The ESG Selection Criteria are as follows:

1. **Screens**

(i) **Event Screens**

At each quarterly reconstitution, companies with Event Ratings higher than three (3) for the following Events, as determined by Sustainalytics, are excluded from the eligible universe:

- Land Use and Biodiversity
- Land use and Biodiversity Supply Chain
- Employees - Human Rights
- Employees - Human Rights Supply Chain
- Society - Human Rights
- Society - Human Rights Supply Chain
- Access to Basic Services
- Occupational Health and Safety
- Occupational Health and Safety Supply Chain
- Bribery and Corruption
- Bribery and Corruption Supply Chain
- Accounting and Taxation

Event Ratings range from 1 to 5, with higher ratings indicating more severe impact on the environment and society, and the greater the business risk for the company.

Furthermore, companies with a “Risk Rating”, as determined by Sustainalytics, of higher than Medium for Resource Use are also excluded from the Index. “Risk Ratings” are intended to measure a company’s exposure to industry-specific material ESG risks and

how well a company is managing those risks. The level of risk, from lowest to highest, is indicated by the following ratings: Negligible, Low, Medium, High, and Severe.

(ii) Exclusionary Screens

At each quarterly reconstitution, companies engaged in the following business activities, as determined by Sustainalytics on behalf of the Index, are excluded from the eligible universe:

| Category of Involvement | Description | Acceptable involvement range | Acceptable ownership range |
|---|---|------------------------------|----------------------------|
| Adult Entertainment: Production | The company is involved in the production of adult entertainment and/or owns/operates adult entertainment establishments. | <5% of revenues | n/a |
| Adult Entertainment: Distribution | The company is involved in the distribution of adult entertainment materials. | <5% of revenues | n/a |
| Controversial Weapons: Non tailor-made or non essential | The company provides components/services for the core weapon system, which are either not considered tailor-made or not essential to the lethal use of the weapon. | No involvement | n/a |
| Controversial Weapons: Significant ownership (non tailor-made or non essential) | The company provides, through corporate ownership, components/services for the core weapon system, which are either not considered tailor-made or not essential to the lethal use of the weapon. | n/a | <10% |
| Controversial Weapons: Significant ownership (Tailor-made and essential) | The company is involved, through corporate ownership, in the core weapon system, or components/services of the core weapon system that are considered tailor-made and essential for the lethal use of the weapon. | n/a | <10% |
| Controversial Weapons: Tailor-made and essential | The company is involved in the core weapon system, or components/services of the core weapon system that are considered tailor-made and essential for the lethal use of the weapon. | No involvement | n/a |
| Oil Sands: Extraction | The company extracts oil sands. | <5% of revenues | n/a |

2. Controversy Rating

In addition to the exclusionary screens, Sustainalytics will assign companies with a “Controversy Rating” on a scale from 1 to 5 reflecting a company’s exposure to negative ESG incidents or “controversies”. Companies with a Controversy Rating higher than four (4) as defined by Sustainalytics are excluded from the eligible universe.

Further information on Sustainalytics’ data which are incorporated into the Index can be found at <https://www.sustainalytics.com/esg-ratings>.

- ***What is the committed minimum rate to reduce the scope of the investments considered prior to the application of that investment strategy?***

Not applicable.

- ***What is the policy to assess good governance practices of the investee companies?***

The Index is constructed using a combination of Nasdaq and Sustainalytics data. The Index methodology incorporates ESG Screens and Controversy Rating models. Sustainalytics captures good governance practices through these models. Specifically, 3 of the Event Screens fall within the Governance category (Accounting & Taxation, Bribery & Corruption, and Business Ethics). Furthermore, the Sustainalytics Controversy Rating will consider governance related incidents where applicable.

In addition, good governance practices are assessed by the Investment Manager. As the Fund promotes environmental and/or social characteristics, it will monitor investee companies for their adherence to good governance practices. The Investment Manager has adopted a policy to apply good governance tests as part of its weekly reviews of the Fund’s portfolio on areas such as sound management structures, employee relations, remuneration of staff and tax compliance. Companies identified as potentially having governance issues will be subject to further review and considered for divestment. More detail on the Investment Manager’s policy to assess good governance practices of investee companies can be found here:

https://www.ftglobalportfolios.com/Content/ESG_GOVERNANCE

What is the asset allocation planned for this financial product?

To meet the environmental and/or social characteristics promoted, the Fund invests at least 90% of its net assets in the common stocks and depositary receipts that comprise the Index or in depositary receipts that may include American Depositary Receipts (“ADRs”), Global Depositary Receipts (“GDRs”) or European Depositary Receipts (“EDRs”) representing securities in the Index where direct investment in a constituent security of the Index is not possible. A maximum of 10% of the Fund’s assets may consist of cash, money market instruments, short-term instruments and eligible collective investment schemes for liquidity purposes that do not incorporate any environmental and/or social characteristics.

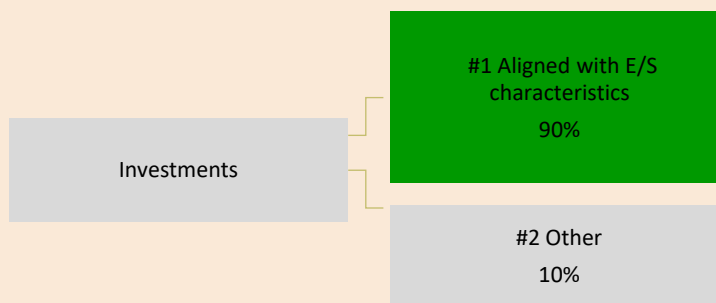
Good governance practices include sound management structures, employee relations, remuneration of staff and tax compliance.



Asset allocation describes the share of investments in specific assets.

Taxonomy-aligned activities are expressed as a share of:

- **turnover** reflecting the share of revenue from green activities of investee companies
- **capital expenditure** (CapEx) showing the green investments made by investee companies, e.g. for a transition to a green economy.
- **operational expenditure** (OpEx) reflecting green operational activities of investee companies.



#1 Aligned with E/S characteristics includes the investments of the financial product used to attain the environmental or social characteristics promoted by the financial product.

#2 Other includes the remaining investments of the financial product which are neither aligned with the environmental or social characteristics, nor are qualified as sustainable investments.

- **How does the use of derivatives attain the environmental or social characteristics promoted by the financial product?**

The Fund will not use financial derivative instruments.



- To what minimum extent are sustainable investments with an environmental objective aligned with the EU Taxonomy?**

Not applicable.

- **Does the financial product invest in fossil gas and/or nuclear energy related activities that comply with the EU Taxonomy?¹**

Yes

In fossil gas

In nuclear energy

No

To comply with the EU Taxonomy, the criteria for **fossil gas** include limitations on emissions and switching to renewable power or low-carbon fuels by the end of 2035. For **nuclear energy**, the criteria include comprehensive safety and waste management rules.

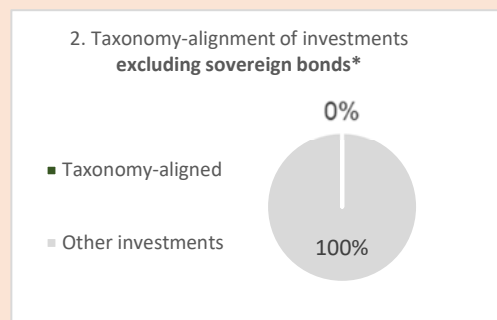
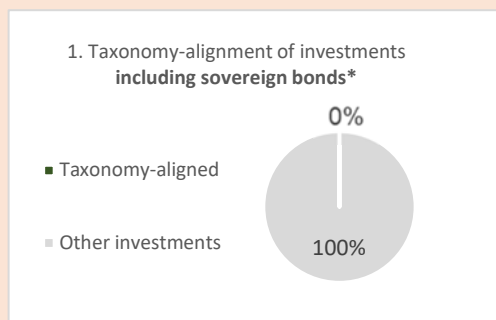
Enabling activities directly enable other activities to

The two graphs below show in green the minimum percentage of investments that are aligned with the EU Taxonomy. As there is no appropriate methodology to determine the taxonomy-alignment of sovereign bonds, the first graph shows the Taxonomy alignment in relation to all the investments of the financial product including sovereign bonds, while the second graph shows the Taxonomy alignment only in relation to the investments of the financial product other than sovereign bonds.*

¹ Fossil gas and/or nuclear related activities will only comply with the EU Taxonomy where they contribute to limiting climate change (“climate change mitigation”) and do not significantly harm any EU Taxonomy objective – see explanatory note in the left hand margin. The full criteria for fossil gas and nuclear energy economic activities that comply with the EU Taxonomy are laid down in Commission Delegated Regulation (EU) 2022/1214.

make a substantial contribution to an environmental objective.


Transitional activities are activities for which low-carbon alternatives are not yet available and among others have greenhouse gas emission levels corresponding to the best performance.



**For the purpose of these graphs, 'sovereign bonds' consist of all sovereign exposures*

● **What is the minimum share of investments in transitional and enabling activities?**

Not applicable.

 are sustainable investments with an environmental objective that **do not take into account the criteria** for environmentally sustainable economic activities under the EU Taxonomy.



What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy?

Not applicable.



What is the minimum share of socially sustainable investments?

Not applicable.



What investments are included under "#2 Other", what is their purpose and are there any minimum environmental or social safeguards?

A maximum of 10% of the Fund's assets may consist of cash, money market instruments, short-term instruments and eligible collective investment schemes for liquidity purposes and do not incorporate any environmental and/or social characteristics.



Is a specific index designated as a reference benchmark to determine whether this financial product is aligned with the environmental and/or social characteristics that it promotes?

Reference benchmarks are indexes to measure whether the financial product attains the environmental or

Yes. The Index is the Fund's reference benchmark for the purposes of attaining the environmental characteristics promoted by the Fund.

social characteristics that they promote.

- ***How is the reference benchmark continuously aligned with each of the environmental or social characteristics promoted by the financial product?***

At each quarterly reconstitution of the Index, the Index Provider (i.e Nasdaq Inc.) applies the applicable ESG Selection Criteria. The Index is fully reconstituted quarterly in March, June, September and December in line with the ESG Selection Criteria. Adjustments made to the constituents of the Index through the quarterly reconstitution will ensure that the Index is continuously aligned with the environmental characteristics promoted by the Fund.

- ***How is the alignment of the investment strategy with the methodology of the index ensured on a continuous basis?***

The Investment Manager aims to replicate the performance of the Index by investing at least 90% of the Fund's total net assets in a portfolio of equity securities that, as far as possible and practicable, consists of the component securities of the Index in similar proportions to their weightings in the Index and in ADRs and GDRs based on the securities in the Index and, as such, the investment strategy is continuously aligned with the methodology of the Index.

- ***How does the designated index differ from a relevant broad market index?***

The Index differs from a broad market index as it provides a multi-factor approach that focuses on dividend growth, dividend yield, earnings per share growth, payout ratio and cash to long-term debt. This approach complements the UCITS lineup with a European focused Large Value strategy focused on screening for high quality dividend growers. The application of the ESG Selection Criteria results in exclusions of equity securities that would otherwise be included in a broad market index, which would not take environmental characteristics into account, but would instead focus on traditional factors, such as market capitalization or geographic location.

- ***Where can the methodology used for the calculation of the designated index be found?***

Details of the Index methodology can be found [here](#).

Where can I find more product specific information online?

More product-specific information can be found on the website:

More product-specific information can be found [here](#).

