

Request for transfer into the Register of specialists on the IDEM market

The intermediary (hereafter, the “Intermediary”)
Registered office (city).....
Address.....
authorised to trade for customer account and admitted to the derivatives market (IDEM)

REQUESTS

the transfer from the sub-section of:

- Primary Specialist
- Liquidity Provider Specialist
- Specialist

to the sub-section of:

- Primary Specialist
- Liquidity Provider Specialist
- Specialist

for the following contract:

- FTSE MIB index option
- Stock option

in the Register of specialists provided for the Rules of the markets organised and managed by Borsa Italiana S.p.A. (hereafter the “Rules”)

on the following underlying (for stock future and stock option contracts)

.....
.....

The Intermediary undertakes to observe the provisions of the Rules and the Instructions, and, in particular, the provisions of Title 4.7 and 4.9 of the Rules, where applicable, concerning the activity of specialists, which it declares it knows and accepts, and to observe subsequent amendments to the Rules and the Instructions.

The relationship between Borsa Italiana S.p.A. and the Intermediary shall be governed by the General Conditions and the Annex, and in particular by the current Rules and Instructions in force.

(place and date)

(signature of the legal representative)

(name and last name)