

TECHNICAL COMMUNICATION NO. 3

In accordance with Articles 2.6 and 2.7 and Guidance to the Rules 6.1 (3) of EuroTLX Rule Book regarding how to submit a request for admission, extension, modification and withdrawal from financial instrument trading.

IN FORCE AS OF 12 APRIL 2021



BORSA ITALIANA

The Communication regulates how to submit the admission request of financial instruments, the extension request of financial instruments, the modification request of the listing requirements and the withdrawal request from liquidity provision.

- 1) In order to apply for the issue of a declaration of admissibility for financial instruments to be issued within the scope of a programme as per Article 2.7 of EuroTLX Market Rule Book, the requesting party shall send an email to **EuroTLX@borsaitaliana.it** for financial instruments, referred to in Article 2.1, paragraph 1, letter a); or using the specific Listing on Line functionality for covered warrants and certificates.
- 2) In order to apply for the admission to trading of financial instruments on EuroTLX as per Article 2.6 of EuroTLX Market Rule Book the requesting party shall submit the admission application using the specific functionality available in the "Admission Requests" section of the Member Portal for the financial instruments referred to in Article 2.1, paragraph 1, letter a) or using the specific Listing on Line functionality for covered warrants and certificates. In the case the requesting party that intends to apply for the admission to trading of financial instruments pursuant to Article 2.1, paragraph 1, letter a) is a Liquidity Provider who operates as Market Maker pursuant to Article 6.6, the application form shall be submitted using the specific functionality available in the "Admission Requests" section of the Member Portal for the financial instruments referred to in Article 2.1, paragraph 1, letter a). In the case the requesting party operates as Specialist the application for admission to trading shall be submitted using the specific Listing on Line functionality.
- 3) In order to apply for the admission to trading of financial instruments following the declaration of admissibility pursuant to Article 2.7 of EuroTLX Regulations, the requesting party shall submit the application for admission using the specific functionality available in the "Admission Requests" section of the Member Portal for the financial instruments referred to in Article 2.1, paragraph 1, letter a) or using the specific Listing on Line functionality for covered warrants and certificates.
- 4) In order to apply for the modification of its activity or for an extension to it to other financial instruments already admitted to trading on EuroTLX or for which an admission process has already started, the Liquidity Provider shall submit the application using the specific functionality available in the "Extension Requests" section of the Member Portal for the financial instruments referred to in Article 2.1, paragraph 1, letter a) and letter b) or via email to the address **certx@borsaitaliana.it** for covered warrants and certificates.

In order to ask for the withdrawal from the activity of providing liquidity on one or more financial instruments, the Liquidity Provider shall submit the request using

the specific functionality available in the “Extension Requests” section of the Member Portal for the financial instruments referred to in Article 2.1, paragraph 1, letter a) and letter b) or via email to the address **certx@borsaitaliana.it** for covered warrants and certificates.



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