

25/01/2023

Borsa Italiana S.p.A. – Request for Services

[Simplified Version]

Client data

Name/Corporate name (hereinafter, the “**Client**”)

belonging to (name of group)

Registered office in (Country).....

AddressPost code

Telephone:.....

LEI code:.....

Client’s qualification:

- EEA Credit Institution
- EEA Investment Firm
- Other EEA Authorised Firm
- Non-EEA Authorised Firm
- Unauthorised Firm

First name and family name of Legal/Contract Representative.....

Position held in the Company

By signing this Request for Services, the Client applies to Borsa Italiana S.p.A. (hereinafter, the “**Supplier**”) to use the following additional Service(s) [tick the appropriate box(es)]:

TRADING SERVICE in the following Market(s):

- Derivatives Market – IDEM:
 - IDEM Equity Segment
 - IDEX Segment
 - AGREX Segment

NON-EXECUTING BROKER SERVICE in the following Market(s):

- Derivatives Market – IDEM, IDEM Equity Segment
- Derivatives Market – IDEM, IDEX Segment

REMIT REPORTING SERVICE in the following Market(s):

- Derivatives Market – IDEM, IDEX Segment

Applicable provisions

Each Service, as requested above, shall result, upon the Supplier accepting by means of a written communication to the Client, which shall also specify the day on which the Supplier will begin to supply the Service(s) to the Client, in as many separate and independent legal relationships as the Services requested and supplied.

However from the time the Client receives the written communication from the Supplier with confirmation of receipt of the Request for Services and an invitation to complete the Participation Documentation - through the codes (userID and password) – already in possession of the Client – for access to the reserved area of the Supplier’s website, dealings between the Client and the Supplier shall be governed by the General Conditions and the Annexes issued by the Supplier insofar as they are applicable.

This Request for Services and the legal relationships deriving from it shall be governed pursuant to Articles 1341 and 1342 of the Italian Civil Code, by the above-mentioned documents, which the Client declares it knows and accepts, having examined it on the Supplier’s website.

Both parties acknowledge that the applicable law on data protection (legislative decree No. 196/2003, as subsequently replaced and/or integrated with effect from May 25 2018, by the EU General Data Protection Regulation No 679/2016 and by the relevant national and international implementing and integrating regulations and orders) shall apply only to the personal data.

The Client represents that it has read and understood the Privacy Policy published on the Supplier website at the link: <http://www.borsaitaliana.it/varie/privacy/privacy.en.htm>.

The Client, having read the Privacy Policy provided by the Supplier as data controller, declares to have made available to the Contract Representative/s and to its employees and/or officers whose personal data are provided herein or within the reserved area available through the Supplier's website, the Privacy Policy.

In case the Client applies as "Unauthorised Firm" for the access to markets other than IDEX and AGREX, the Client declares that it trades on its own account within the limits set forth in article 4-terdecies, paragraph 1, letter d), TUF.

In case the Client applies as "Unauthorised Firm" for the access to the IDEX and/or AGREX markets, the Client declares that it trades commodity derivatives within the limits set forth in article 4-terdecies, paragraph 1, lett. j), TUF.

The Client declares that the signature below is also to be the specimen signature of the Legal Representative. [Applicable only in case of Request of Service signed by the Legal Representative and the Legal Representative is different from the one already indicated to the Supplier]

(Place and date)

(Signature of the Legal/Contract Representative)

(First name and family name)

Pursuant to and for the purposes of Articles 1341 and 1342 of the Italian Civil Code, the Client expressly accepts:

- the following provisions of the **General Conditions Part I**: Art. 3 (Obligations and performance of the Supplier), Art. 4 (Obligations of the Client), Art. 6 (Communications), Art. 7 (Amendments of the General Conditions and the Annexes), Art. 8 (Liability), Art. 10 (Fees), Art. 11 (Duration of the Contract and withdrawal), Art. 12 (Express termination clause), Art. 13 (General Provisions), Art. 14 (Disputes and compulsory arbitration), Art. 15 (Applicable Law and jurisdiction);
- the following provisions of the **General Conditions Part II**: Art. 2.4 and Art. 2.5 (Trading Service), Art. 3.4 (Non-Executing Broker Service), Art. 5.1 lett. (a) (Obligations of the Client of the Trading Service), Art. 6.1, lett. (a) (Obligations of the Client of the Non-Executing Broker Service) and art. 7.1 lett. (a) (Obligations of the Client of the REMIT Reporting Service);
- the following provisions of the **Borsa Italiana Rules**: Art. 3.1.3 (Conditions for admission), Art. 3.2.1 (Continued satisfaction of the conditions for admission), Art. 3.3.1 (Rules of Conduct), Art. 3.3.2 (Transmission of orders to the market), Art. 3.3.3 (Technical breakdowns of market intermediaries' systems), Art. 3.4.3 (Measures to protect the market), Art. 3.4.4 (Measures against market intermediaries), Art. 3.4.5 (Procedures for verifying violations), Art. 3.4.6 (Review of measures), Art. 3.4.7 (Disclosure to the public of measures), Art. 6.1.1 (Controls and measures concerning trading), Art. 6.1.2 (Measures concerning trading parameters, hours and phases), Art. 6.1.3 (Handling of input errors), Art. 6.1.4 (Handling of technical breakdowns), Art. 7.2 (Disputes submitted to the courts), Art. 7.3 (Other disputes), Art. 7.4 (Appeals Board), Art. 7.5 (Board of Arbitration);

(Place and date)

(Signature of the Legal/Contract Representative)

(First name and family name)

This Request for Services, duly compiled and signed, shall be sent by mail (in advance by Member Portal), together with the required annexes, to:



BORSA ITALIANA S.p.A.

Membership Italy

Piazza degli Affari, 6 - 20123 Milano

