
01 August 2018



London
Stock Exchange



Borsa Italiana

Service Facilitator Application for London Stock Exchange and Borsa Italiana

Version 4

This document is intended for customers applying as a Service Facilitator for London Stock Exchange and/or Borsa Italiana. The Application can be completed by the Service Facilitator or by the Redistributor and applies to the redistribution of real time and/or delayed data in a closed user group environment. All completed and scanned declarations should be emailed to:

London Stock Exchange: marketdata@lseq.com

Borsa Italiana: marketdataBIT@borsaitaliana.it

If you prefer to mail us a hard copy, then please send to:

Market Data Administration
Real Time Data Team
London Stock Exchange Group plc
10 Paternoster Square
London
EC4M 7LS

If you require further policy clarification in respect of the use of real time data detailed within this document, then please contact the Real Time Data Business Development Team on +44(0) 20 7797 3699, or email: marketdata@lseq.com or marketdataBIT@borsaitaliana.it.



Section 1: Service Facilitator Details

| | |
|----------------------|-----------------------------|
| Company Name: | |
| Contact Name: | Position: |
| Telephone: | Email: |
| Street Address: | Town: |
| County: | Post Code: |
| Country: | VAT Number: |
| Redistributor name: | Redistributor contact name: |

Section 2: Invoice Details (please include the invoicing details of the Service Facilitator or the Redistributor)

| | |
|----------------------|-------------|
| Company Name: | |
| Contact Name: | Position: |
| Telephone: | Email: |
| Street Address: | Town: |
| County: | Post Code: |
| Country: | VAT Number: |



Section 3: Service Facilitator Criteria

Redistributors can appoint 'Service Facilitators' to deliver Data in a closed user group environment. Any such client is required to sign a Service Facilitator Application before approval is granted and the following criteria must be met:

| Criteria | Yes | No |
|--|---|--------------------------|
| a) It is the Redistributor, and never the Service Facilitator, who contracts directly to supply the Data to the End Customer | <input type="checkbox"/> | <input type="checkbox"/> |
| b) The Redistributor must provide upon request all End Customer records | <input type="checkbox"/> | <input type="checkbox"/> |
| c) The Redistributors' contract to supply the Data to the End Customer must be in place prior to any Data enablement | <input type="checkbox"/> | <input type="checkbox"/> |
| d) The Service Facilitator does not database, add to, subtract from, or modify the Data | <input type="checkbox"/> | <input type="checkbox"/> |
| e) Individual Unique User Id/Device Data entitlements must be allocated by the Redistributor and not the Service Facilitator | <input type="checkbox"/> | <input type="checkbox"/> |
| f) The Redistributor is responsible for all Data reporting | <input type="checkbox"/> | <input type="checkbox"/> |
| g) The Service Facilitator is forbidden in its contract with the Redistributor from providing Data to any clients who are not clients of the Redistributor (other than as an approved Service Facilitator for other Redistributors or as a Redistributor in its own right) | <input type="checkbox"/> | <input type="checkbox"/> |
| h) The Service Facilitator, in its contract with the Redistributor, agrees to the same terms as the Redistributor in respect of market data audits | <input type="checkbox"/> | <input type="checkbox"/> |
| Brand | i) Solely that of the Redistributor | <input type="checkbox"/> |
| | j) Not solely that of the Redistributor | <input type="checkbox"/> |

For the policy and detailed pricing for this type of redistribution please refer to Market Data Policies (Schedule A): section.7.0 and Market Data Price List (Schedule B): sections 1.6 – 1,7 and 6.8 – 6.9 – 6.10 – of the Real Time Market Data Agreement.

<http://www.londonstockexchange.com/products-and-services/market-data/realtimedata/pricesandpolicies/realtimedataagreement2018.pdf>

and

http://www.borsaitaliana.it/borsaitaliana/information-services/pricing/rtd28_pdf.htm

Section 4: Data redistributed via the Service Facilitator product

Service Facilitators/Redistributors are required to complete, as appropriate, the type and level of London Stock Exchange and Borsa Italiana data in real time and/or delayed distributed via the Service Facilitator product.



| London Stock Exchange | Real Time | Delayed | Level 1 | Level 2 | Private Investor |
|-----------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|
| UK data | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| International data | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| European data | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

| Borsa Italiana | Real Time | Delayed | Level 1 A1 | Level 2 | | | |
|---------------------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|
| | | | | A2 | A3 | A4 | A5 |
| AFF | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| DER | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| MOT | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| COMMODITIES (AGREX and IDEX) | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

Section 5: For Acceptance

The Service Facilitator or the Redistributor acknowledges that the fees for using London Stock Exchange and/or Borsa Italiana data, are applicable from 1st January 2016.

| |
|--------------------------------------|
| Start Date: (Day) / (Month) / (Year) |
|--------------------------------------|

The Service Facilitator/Redistributor undertakes to promptly inform London Stock Exchange (marketdata@lseg.com) and Borsa Italiana (realtimedata@borsaitaliana.it) of any changes to the information given above.

The Service Facilitator/Redistributor agrees that the charges applicable to the above mentioned usage types shall be billed by London Stock Exchange and Borsa Italiana directly to the Redistributor/Service Facilitator and paid by the Redistributor/Service Facilitator directly to London Stock Exchange and Borsa Italiana

The Service Facilitator/Redistributor hereby agrees that for the above usage types it will directly pay London Stock Exchange and/or Borsa Italiana, within [30] days from the receipt of the relevant invoice from London Stock Exchange and/or Borsa Italiana and, alternatively, by means of:

| BANK TRANSFER | |
|-------------------|--|
| R.I.D. | |
| SEPA DIRECT DEBIT | |

Invoicing will operate on a quarterly basis, chargeable in advance. Any declared usage for the prior financial Year (before 1st of January) shall be invoiced separately according to the



corresponding price list available on: <http://www.londonstockexchange.com/products-and-services/market-data/realtimedata/pricesandpolicies/marketdatapricelist2018.pdf> and http://www.borsaitaliana.it/borsaitaliana/information-services/pricing/datapricelist2018_pdf.htm

London Stock Exchange and Borsa Italiana shall have the right to modify the respective Charges due and the calculation criteria thereof by giving notice to the Service Facilitator/Redistributor at least sixty (60) calendar days prior to the date such modification is to take effect.

Both parties may terminate this agreement at any time by giving the other party at least 90 days written notice.

Any request by the Service Facilitator/Redistributor for repayment of overpaid Service Facilitator Redistribution Licenses, based on an inaccurate Service Facilitator Application, must be made within three calendar months after the date the Service Facilitator/Redistributor signed the Application. The Service Facilitator/Redistributor is only entitled to credits within that three month period.

The personal data provided in this order form will be used by the Exchanges, and/or any of their respective Subsidiaries (together with the Exchanges, the "Group") for the purposes of providing the products, services and data that you have ordered and enabling the Group to perform its business activities in accordance with Privacy Policy of the London Stock Exchange and Borsa Italiana (as applicable), available on their respective websites. You agree and confirm that you have procured for an appropriate notice to be made available to the relevant data subjects and have obtained any necessary consents or authorisations required to permit Group to use such personal data for such purposes.

By ticking the box(es) below, you also agree to the personal data provided being used:

- by the Exchanges to send messages about similar products and services of the Exchanges; and
- by the Group to send messages about Group products and services,

and confirm that you have obtained all necessary consents from the relevant data subjects to permit Group to carry out the marketing activity set out in this paragraph.

Approval (to be completed by LSEG)

- Service Facilitator (Solely Redistributor Brand)**
- Service Facilitator (Not solely Redistributor Brand)**

01 August 2018



London
Stock Exchange Group

Signed on and behalf of the Service Facilitator or Redistributor :

Position:

Name:

Date:

Signed on and behalf of London Stock Exchange:

Position:

Name:

Date:

Signed on and behalf of Borsa Italiana:

Position:

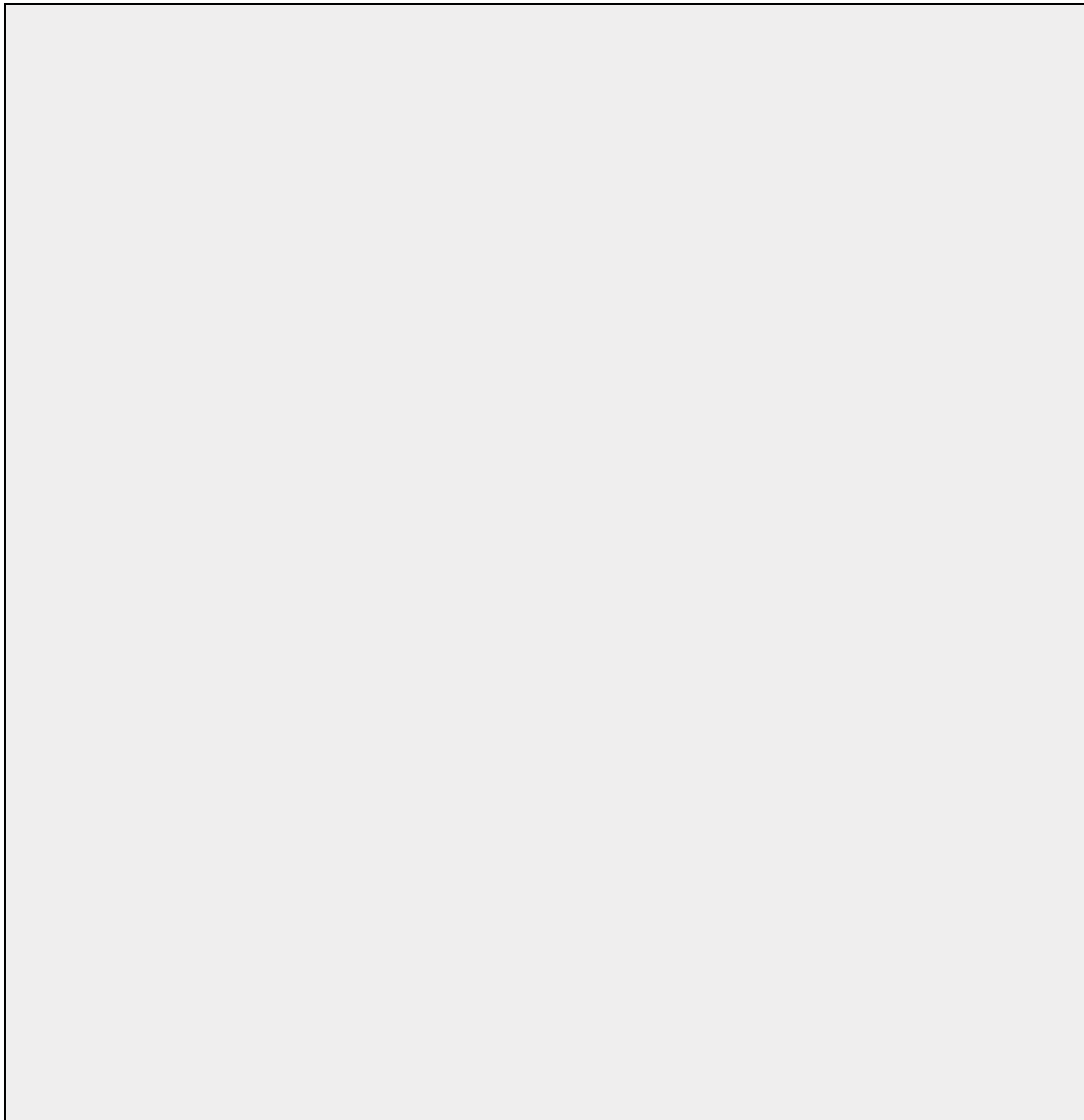
Name:

Date:



Appendix 1: Screenshots

Please provide below screenshots of the Service Facilitator product displaying London Stock Exchange and/or Borsa Italiana data.



URL

Please provide below the URL where London Stock Exchange and/or Borsa Italiana Data is displayed via websites.
